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## Electrocatalytic oxidation of 5-hydroxymethylfurfural to 2,5-furandicarboxylic acid on supported Au and Pd bimetallic nanoparticles†

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This work explores the potential-dependent electrocatalytic oxidation of 5-hydroxymethylfurfural (HMF) in alkaline media over supported Au and Pd nanoparticles and demonstrates the synergistic effects of bimetallic Pd-Au catalysts for the selective formation of 2,5-furandicarboxylic acid (FDCA). Results from electrolysis product analysis at various electrode potentials, along with cyclic voltammetry of HMF and its oxidation intermediates, revealed the unique catalytic properties of Pd and Au for competitive oxidation of alcohol and aldehyde side-groups present in HMF. Aldehyde oxidation was greatly favored over alcohol oxidation on the Au/C catalyst, which was very active for HMF oxidation to 5-hydroxymethyl-2-furancarboxylic acid (HFCA), however high electrode potentials were required for further oxidation of the alcohol group to FDCA. HMF oxidation on Pd/C followed two competitive routes to FDCA and the pathway was dependent on the electrode potential. Oxidation of aldehyde groups occurred much slower on Pd/C than on Au/C at low potentials, but was greatly enhanced at increased potentials or by alloying with Au. It was found that Pd-Au bimetallic catalysts achieved deeply oxidized products (FFCA and FDCA) at lower potentials than monometallic catalysts and the product distribution was dependent on the electrode potential and surface alloy composition. Bimetallic catalysts with 2:1 and 1:2 Pd-Au molar ratios (Pd<sub>2</sub>Au<sub>1</sub>/C and Pd<sub>1</sub>Au<sub>2</sub>/C) exhibited advantages of both single components with facile alcohol and aldehyde group oxidation, resulting in greatly improved HMF conversion rate and selectivity to fully oxidized FDCA

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## Introduction

Rapid growth of world population and declining petroleum reserves create a great need for renewable sources of carbon for production of chemicals in the future. Lignocellulosic biomass is an abundant, inexpensive and sustainable resource from which many platform sugars can be derived. New scientific challenges arise in converting these platform sugars because, unlike petroleum feeds, biomass-derived chemicals are often highly functionalized and new catalytic processes are needed to control the functionality of the final products.<sup>1</sup> Recent research has achieved high yield of the important building-block molecule 5-hydroxymethylfurfural (HMF) from six-carbon sugars.2-5 HMF, which features a furan ring with both alcohol and aldehyde side-groups, has attracted enormous

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attention, and US DOE has identified HMF as one of the important intermediates for biorenewable chemicals and fuels.<sup>6,7</sup> Of particular importance is the oxidation product 2,5furandicarboxylic acid (FDCA) which is a suitable starting monomer for bio-derived polymeric materials. 6-9 The selective oxidation of HMF to FDCA must be studied not only for the industrial significance of FDCA, but also as a model for effective catalytic processing of multi-functional molecules from biomass. There is an urgent need to understand the role of catalyst selectivity and its relationship with reaction steps and pathways to design green catalytic processes of the future.

Significant progress has been made in the selective oxidation of HMF in traditional heterogeneous catalytic systems. 10-17 Verdeguer et al. thoroughly studied the selective aerobic oxidation of HMF to FDCA on Pt/C and Pt-Pb/C catalysts at various temperatures under alkaline conditions. 10 They identified two pathways (Scheme 1) to FDCA through either aldehyde oxidation of HMF through 5-hydroxymethyl-2-furancarboxylic acid (HFCA, Path A) or alcohol oxidation of HMF to 2,5-furandicarboxaldehyde (FDA, Path B) and found that the final product distribution was highly dependent on the temperature and pH. Casanova and others investigated Au as an

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Scheme 1 Possible oxidation reaction pathways of HMF to FDCA.

HMF oxidation catalyst and observed formation of FDCA with high selectivities through the HFCA intermediate. 11,12,15,17 Later, Davis et al. directly compared supported Au, Pd, and Pt catalysts and found that Pd/C and Pt/C were more selective towards FDCA (71-79%) than Au/C at complete HMF conversion under identical conditions (6 h, 1:2 molar ratio HMF-KOH, 7 bar O<sub>2</sub>, 295 K). 14 Incomplete oxidation yielding HFCA was observed on Au/C and Davis concluded that higher O2 pressure and alkaline concentration were required to further oxidize the alcohol group of HFCA to the intermediate 2-formyl-5-furancarboxylic acid (FFCA) and then to FDCA. However, discussion of the mechanistic differences of HMF oxidation on Au/C or Pd/C and Pt/C considered only oxidation through the HFCA intermediate (Scheme 1, Path A). Recently, Prati's group found that the activity of the supported Au modified with Pt or Pd had extraordinarily increased the activity and stability towards HMF oxidation and selectivity towards FDCA compared to the monometallic catalysts. 16 Furthermore, Au-Pd was more active than Au-Pt and almost reached full conversion to FDCA (2 h, 1:2 molar ratio HMF-KOH, 3 bar O<sub>2</sub>, 333 K). Prati attributed the higher FDCA yield on alloys to an increased resistance to poisoning by adsorbed intermediate species. However, the specific benefits of Pd (or Pt) addition to Au for competitive alcohol and aldehyde group oxidation and the synergistic effect of the alloys remain unclear.

Electrocatalytic oxidation is an alternative reaction system to traditional aerobic oxidation where the driving force is the electrochemical potential. The regulation of the electrode potential varies the driving force, and the subsequent surface reactions can be monitored by the current measurement. Also, electrochemical systems give rise to fuel cells, which can simultaneously produce chemical products and renewable electricity. 18-22 Electrocatalytic oxidation of HMF on supported noble metal catalysts has recently received attention, however the only published work is limited to a bulk Pt foil electrode, and full oxidation to FDCA was not achieved.<sup>23</sup> The application of supported metal nanoparticle electrocatalysts for HMF oxidation allows parallel research between heterogeneous and electrocatalytic oxidation to help advance both fields. In the past, our group has determined the electrode potential-dependent reaction pathways for selective oxidation of poly-alcohols including ethylene glycol and glycerol on supported nanoparticle catalysts. 18,19,22,24-26 This research approach is readily expanded to study oxidation of multiple functional groups, as found in HMF.

In the present work, self-prepared monometallic Au/C and Pd/C and bimetallic Pd<sub>2</sub>Au<sub>1</sub>/C and Pd<sub>1</sub>Au<sub>2</sub>/C (molar ratios) were applied as electrocatalysts for oxidation of HMF in the anion-exchange membrane (AEM) electrolysis flow cell and half-cell reactors to determine the electrode potential-dependent product distribution and yield. This study elucidates the effects of the electrode potential and catalyst metal on the competitive oxidation of aldehyde and alcohol side-groups in alkaline media when isolated by the stable furan-ring structure. A reaction pathway is proposed for the aqueous-phase HMF electrocatalytic oxidation on Au/C and Pd/C in alkaline media. The benefit of alloyed Au–Pd catalysts for FDCA production is demonstrated by electrochemical methods and discussed in terms of the proposed reaction pathway and the surface composition and morphology of metallic nanoparticles.

## Results and discussion

### Physical characterization of Pd and Au electrocatalysts

Carbon black supported monometallic Pd/C and Au/C and bimetallic Pd-Au catalysts with 2:1 and 1:2 molar ratios (Pd<sub>2</sub>Au<sub>1</sub>/C and Pd<sub>1</sub>Au<sub>2</sub>/C) were prepared by a modified organic phase reduction method. 20,25,27 The same synthesis conditions, such as the surfactant concentration and the reduction temperature and duration, were used to prepare each catalyst, with only the amount of metal precursors changing with the composition. The morphology, structure, and composition of the as-prepared catalysts were analyzed by X-ray diffraction (XRD), transmission electron microscopy (TEM), high-angle annular dark field via aberration-corrected scanning transmission electron microscopy (HAADF-STEM), scanning transmission electron microscopy coupled with highspatial resolution energy dispersive spectroscopy (STEM-EDS) and inductively coupled plasma atomic emission spectroscopy (ICP-AES). XRD patterns for all catalysts were collected from 15° to 90° and displayed typical face-centered cubic (FCC) patterns with diffraction peaks at ~39°, 46°, 67°, and 80° assigned to the corresponding (111), (200), (220), and (311) facets, respectively (Fig. S1, in ESI†). From Fig. S1†, there is a clear shift in peak position with changing metal composition, implying that alloyed crystal structures are present in Pd2Au1/C and Pd<sub>1</sub>Au<sub>2</sub>/C. Average crystal size values were calculated from the (220) peak using the Debye-Scherrer formula and are summarized in Table 1. Typical TEM images, HAADF-STEM

 $\begin{tabular}{lll} \textbf{Table 1} & \textbf{XRD, TEM, ICP-AES and STEM-EDS results for self-prepared catalysts} \\ \end{tabular}$ 

Catalyst	Average crystal size <sup>a</sup> [nm]	Average particle $\operatorname{size}^b[\operatorname{nm}]$	Pd : Au molar ratio <sup>c</sup>	Pd : Au molar ratio <sup>d</sup>
Pd/C	2.6	2.1	_	_
Pd <sub>2</sub> Au <sub>1</sub> /C	2.2	2.0	1.8:1	1.7:1
Pd <sub>1</sub> Au <sub>2</sub> /C	2.5	2.9	1:1.8	1:2.3
Au/C	2.8	2.6	_	_

 $<sup>^</sup>a$  From XRD.  $^b$  From TEM.  $^c$  From ICP-AES.  $^d$  From STEM-EDS.

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Fig. 1 TEM, HAADF-STEM, and particle size histograms of (A) Pd/C, (B) Pd<sub>2</sub>Au<sub>1</sub>/C, (C) Pd<sub>1</sub>Au<sub>2</sub>/C and (D) Au/C.

Particle diameter (nm)

15 20 25 30 35

images, and the corresponding metal particle size histograms are shown in Fig. 1. All catalysts have small round-shaped metal particles uniformly distributed on the carbon support. The average particle sizes evaluated from TEM were 2.1, 2.0, 2.9 and 2.6 nm for Pd/C, Pd<sub>2</sub>Au<sub>1</sub>/C, Pd<sub>1</sub>Au<sub>2</sub>/C, and Au/C, respectively, which is in good agreement with XRD results. The organic phase reduction method achieved very small Pd nanoparticles under these synthesis conditions, while the Au-containing particles were slightly larger and more developed as can be seen in the HAADF-STEM images. Bulk metal compositions of bimetallic catalysts (Pd2Au1/C and Pd1Au2/C) were determined by ICP-AES and STEM-EDS and are shown in Table 1. Bulk compositions were close to the set ratios, indicating that Pd and Au precursors were fully reduced during nanoparticle synthesis. Further characteristics such as the nature of the alloyed phases and the surface composition of Pd<sub>2</sub>Au<sub>1</sub>/C and Pd<sub>1</sub>Au<sub>2</sub>/C are explored later through electrochemical methods.

2.0 2.5 3.0

Particle diameter (nm)

# Effects of the electrode potential and catalyst metal composition on HMF oxidation product distribution in the AEM-electrolysis flow cell

The prepared catalysts were investigated for the electrocatalytic oxidation of HMF in an AEM-electrolysis flow cell reactor at applied anode potentials of 0.6, 0.9, and 1.2 V vs. RHE and a catalyst metal loading of 1 mg cm<sup>-2</sup>. A solution of 0.02 M HMF (1:5 HMF-KOH molar ratio) was passed through the anode reaction volume and product samples were collected for HPLC analysis. HMF conversion and product selectivity of these runs after 1 h are shown in Table 2. For all catalysts and tested potentials, FDCA was observed, however HMF conversion and product selectivity were highly dependent on the applied anode potential and metal composition. Fig. 2 demonstrates the widely varying FDCA molar yield (FDCA selectivity × HMF conversion) after 1 h at 0.9 V on different

Table 2  $\,$  HMF oxidation product analysis in the AEM-electrolysis flow  ${\rm cell}^a$ 

Particle diameter (nm)

2.5

Particle diameter (nm)

Catalyst	Potential [V vs. RHE]	$C_{\mathrm{HMF}}$ [%]	$S_{ m HFCA}$ [%]	$S_{ m FDA} \ [\%]$	$S_{ ext{FFCA}}$ [%]	$S_{ m FDCA} \ [\%]$
Pd/C	0.6	75	25	<1	64	11
Pd <sub>2</sub> Au <sub>1</sub> /C	0.6	87	30	<1	62	8
Pd <sub>1</sub> Au <sub>2</sub> /C	0.6	100	59	_	16	25
Au/C	0.6	100	98	_	<1	1
Pd/C	0.9	97	70	_	<1	29
Pd <sub>2</sub> Au <sub>1</sub> /C	0.9	100	35	_	<1	64
Pd <sub>1</sub> Au <sub>2</sub> /C	0.9	100	16	_	<1	83
Au/C	0.9	100	98	_	<1	1
Pd/C	1.2	32	71	_	26	3
Pd <sub>2</sub> Au <sub>1</sub> /C	1.2	82	61	_	17	22
Pd <sub>1</sub> Au <sub>2</sub> /C	1.2	100	60	_	4	36
Au/C	1.2	99	81	_	5	14

 $^a$  Reaction conditions: 1 h; 25 mL of 0.02 M HMF, 0.1 M KOH solution; flow 20 mL min $^{-1}$ ; 25  $^{\circ}$ C; anode catalyst metal loading 1 mg cm $^{-2}$ . C is conversion. S is molar selectivity.

catalysts. The bimetallic catalysts demonstrated higher HMF conversion and much greater selectivity towards FDCA than monometallic catalysts, with the Au-rich alloy Pd<sub>1</sub>Au<sub>2</sub>/C being superior over Pd<sub>2</sub>Au<sub>1</sub>/C. Remarkably, oxidation on Pd<sub>1</sub>Au<sub>2</sub>/C at 0.9 V achieved 83% molar yield of FDCA after 1 h, almost three times higher than Pd/C while pure Au/C was nearly inactive towards FDCA formation at this potential. Fig. 3 shows the strong effect of electrode potential on conversion and product distribution, with the highest conversion to FDCA at 0.9 V. It should be noted that the HMF conversion rate and FDCA selectivity dropped drastically on Pd-rich catalysts at 1.2 V vs. RHE, at which the potential is sufficient for the formation of metal–oxide species which are not active for this reaction. On the other hand, Au/C resists oxidation under the same electrochemical conditions. HMF was fully converted

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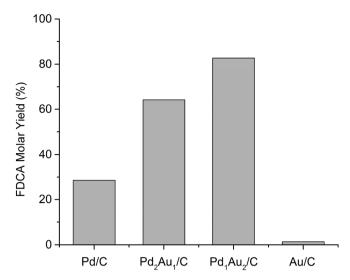


Fig. 2 Molar yield of FDCA from oxidation of 0.1 M KOH + 0.02 M HMF. Reaction conditions: 1 h; AEM-electrolysis flow cell; anode potential 0.9 V vs. RHE; 25 °C.

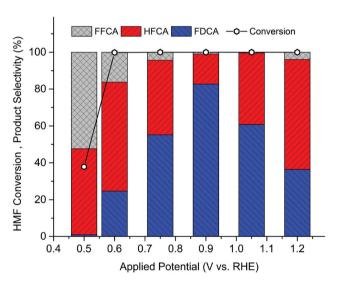


Fig. 3 Product distribution on Pd<sub>1</sub>Au<sub>2</sub>/C for the oxidation of 0.02 M HMF in 0.1 M KOH. Reaction conditions: 1 h; AEM-electrolysis flow cell; 25 °C.

(>99%) after 1 h on Au/C at the three tested potentials, however HFCA was the major observed product ( $S_{HFCA}$  = 81-98%). Selectivity towards FFCA and FDCA significantly increased at 1.2 V, indicating that further oxidation of the alcohol group of HFCA requires high potential on Au/C. In contrast, HMF oxidation on Pd/C was slower and did not reach complete HMF conversion after 1 h, but resulted in higher FDCA production than Au/C at lower potentials. Interestingly, at 0.6 V on Pd/C, the major observed product was FFCA ( $S_{\text{FFCA}} = 64\%$ ), while at 0.9 V the main product was HFCA ( $S_{HFCA} = 70\%$ ). These differences in product distribution between Pd/C and Au/C indicate that the reaction pathway of HMF electrocatalytic oxidation is dependent on the catalyst metal and electrode potential. Additional studies of electrocatalytic oxidation of reaction intermediates may clarify these differences and give insight into the benefits of Pd-Au alloyed catalysts for highly active and selective oxidation of HMF to FDCA.

### Onset potential and net peak current density of HMF, HFCA, and FFCA oxidation in half-cell reactors

To further investigate the mechanisms of electro-oxidation of HMF on Pd-Au catalysts, cyclic voltammetry (CV) was conducted with aqueous solutions of 0.1 M KOH with and without addition of 0.02 M HMF, HFCA, or FFCA. The activities of the prepared catalysts for oxidation of HMF and intermediates were compared in a half-cell reactor by observing the oxidation onset potential and peak net current density. Net current density (mA cm<sup>-2</sup>) is defined as the difference between the forward-scan anodic current density and the blank current density (0.1 M KOH only test) and is shown in Fig. 4 to visibly demonstrate the onset potential and peak current density. The onset potential and peak net current density are summarized in Table 3. Additionally, CVs for HMF, HFCA, and FFCA on Au/C and Pd/C (Fig. S2 and S3, respectively, in ESI†) are included to clearly compare the activities of different functional groups on Pd and Au monometallic catalysts.

The onset of HMF oxidation (Fig. 4A), which contains both alcohol and aldehyde groups, occurred at a much lower potential (~260 mV lower) on Au/C than Pd/C, with the alloys falling

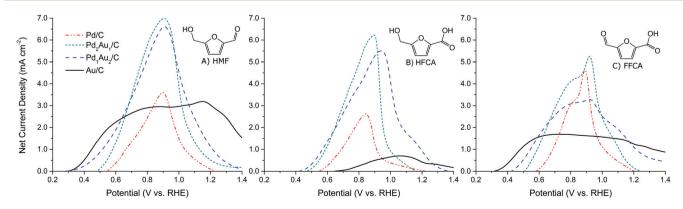


Fig. 4 Anodic-scan from half-cell CV of 0.1 M KOH with 0.02 M of (A) HMF, (B) HFCA and (C) FFCA. Net current density is the difference between anodic-scan current density and blank current density (0.1 M KOH only test). Reaction conditions: 50 mL solution; 25 °C; scan rate 50 mV s<sup>-1</sup>.

Table 3 Onset potential and peak current density from CV in half-cell<sup>a</sup>

Species	Catalyst	Onset potential [V vs. RHE]	Peak potential [V vs. RHE]	Peak net <sup>b</sup> current density [mA cm <sup>-2</sup> ]
HMF	Pd/C	0.55	0.90	3.6
	Pd <sub>2</sub> Au <sub>1</sub> /C	0.48	0.87	6.9
	Pd <sub>1</sub> Au <sub>2</sub> /C	0.30	0.91	6.6
	Au/C	0.29	1.16	3.2
HFCA	Pd/C	0.55	0.84	2.6
	Pd <sub>2</sub> Au <sub>1</sub> /C	0.46	0.90	6.2
	Pd <sub>1</sub> Au <sub>2</sub> /C	0.42	0.94	5.5
	Au/C	0.64	1.06	0.7
FFCA	Pd/C	0.58	0.89	4.6
	Pd <sub>2</sub> Au <sub>1</sub> /C	0.51	0.92	5.2
	Pd <sub>1</sub> Au <sub>2</sub> /C	0.42	0.92	3.3
	Au/C	0.31	0.71	1.7

 $<sup>^</sup>a$  Reaction conditions: 50 mL of 0.1 M KOH with 0.02 M HMF, HFCA, or FFCA; 25 °C; 20  $\mu g$  catalyst loading on the glassy carbon electrode; scan rate 50 mV s $^{-1}$ .  $^b$  Net current density is the difference between the forward-scan peak current density and the blank current density (0.1 M KOH only test).

between the pure catalysts. Similarly, in the low potential range, the net current density on pure Au/C was significantly higher than that on Pd-containing catalysts in the HMF electrolyte. The same trend of activity with the catalyst composition was observed in the CV of FFCA (Fig. 4C), which contains only aldehyde and carboxylic acid groups. These results are consistent with the analogous CV of glyoxylic acid, which features vicinal aldehyde and carboxylic acid groups on a two-carbon chain, where the oxidation onset potential on Au/C was about 140 mV less than that on Pd/C (Fig. S4, in ESI†). To summarize, aldehyde-group oxidation was much easier on Au/C than on Pd/C, and the addition of Au to the Pd metal structure greatly facilitated aldehyde oxidation at much lower potentials than pure Pd/C. Alternatively, the onset potential of HFCA (Fig. 4B), which only contains hydroxyl and carboxylic

acid groups, was about 100 mV lower on Pd/C than on Au/C and even lower on the Pd-Au alloys. The peak net current density for HFCA oxidation on the alloy catalysts was far superior to mono-metals, reaching about two times higher than Pd/C and eight times higher than Au/C. Interestingly, the CV for FFCA shows a sharp current increase around 0.8 V vs. RHE on Pd/C and Pd<sub>2</sub>Au<sub>1</sub>/C and a lesser increase on Pd<sub>1</sub>Au<sub>2</sub>/C. This may indicate a change in reaction mechanism that leads to greatly facilitated aldehyde oxidation at higher potentials on Pd-based catalysts. Furthermore, enhanced aldehyde oxidation on Pd/C at higher potentials may have an effect on the reaction pathway, by altering the competitive oxidation of aldehyde and alcohol groups. For comparison, the current density of FFCA oxidation on Au/C reached its highest value earlier at 0.71 V vs. RHE and gradually decreased at higher potentials.

Evidence from CV of HMF oxidation intermediates provides new insight into the synergistic effect of Au–Pd bimetallic catalysts for selective oxidation, which has been previously studied in both aerobic chemical and electrochemical oxidation systems. <sup>28–34</sup> The benefit of Au–Pd for selective oxidation of HMF to FDCA is demonstrated by CV to be a combination of facilitated aldehyde oxidation at low potentials and higher activity towards alcohol oxidation.

## Reaction pathways of potential-dependent HMF oxidation on supported Pd and Au electrocatalysts

A closer look at time-dependent product distributions reveals further insight into the effects of catalyst metal on the reaction mechanism and pathway. Time-dependent HMF conversion and product selectivity for 2 h reaction at 0.6 V  $\nu$ s. RHE on Au/C and Pd/C are reported in Fig. 5 (results on alloyed catalysts are shown in Fig. S5, ESI†). On Au/C, HMF was nearly completely converted to HFCA ( $S_{\rm HFCA} = 98\%$ ) after only 30 min, followed by very slow formation of FDCA ( $S_{\rm FDCA} = 6\%$  at 2 h). In contrast, HMF conversion on Pd/C was significantly slower but

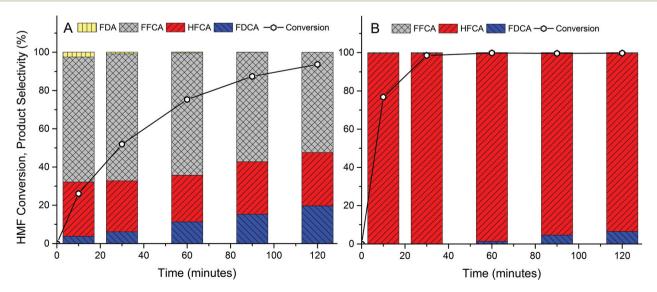


Fig. 5 Reaction product profiles for oxidation of HMF over (A) Pd/C and (B) Au/C including HMF conversion and product selectivity. Reaction conditions: 25 mL of 0.02 M HMF, 0.1 M KOH solution; flow 20 mL min<sup>-1</sup>; 25 °C; anode potential 0.6 V vs. RHE.

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Scheme 2 Proposed reaction pathways of HMF oxidation on Pd/C and Au/C electrocatalysts in alkaline media.

resulted in more deeply oxidized products, even at very short reaction times. FFCA was the main observed product ( $S_{\text{FFCA}}$  = 52% at 2 h) under these conditions with also significant HFCA and FDCA. The intermediate FDA was initially present ( $S_{\text{FDA}} =$ 2.5% at 10 min) but not detected at 2 h reaction. The high selectivity to FFCA and the presence of both FDA and HFCA intermediates are evidence that HMF oxidation proceeds by parallel pathways on Pd/C under these conditions. Similar evidence for two competitive pathways has been previously reported for traditional heterogeneous catalytic HMF oxidation on Pt/C and Ru(OH)<sub>x</sub> on Mg-based supports. 35,36

Scheme 2 shows the proposed potential-dependent reaction pathway of HMF oxidation in alkaline media on Pd/C and Au/C, based on electrochemical results. Electrocatalytic oxidation of HMF on Au/C proceeded by a single pathway, through aldehyde oxidation to HFCA, followed by a potential-sensitive oxidation of the alcohol-group to FFCA, which was rapidly converted to FDCA. Aldehyde oxidation was highly favored over alcohol oxidation on Au/C, as evidenced by the 330 mV negative shift in the onset potential of FFCA compared to HFCA in the half-cell and the existence of HFCA as the main observed intermediate in the AEM-electrolysis flow cell reactor (Table 3 and Fig. 5B).

In sharp contrast to Au/C, electrocatalytic oxidation of HMF on Pd/C followed potential-dependent parallel reaction pathways, attributed to its similar onset potentials for alcohol (Table 3: HFCA, 0.55 V) and aldehyde oxidation (Table 3: FFCA, 0.58 V) and the highly enhanced rate of aldehyde oxidation at higher potentials (Fig. 4C). At low potentials, both the initial pathways for oxidation of the aldehyde or hydroxyl group of HMF occurred on Pd/C, evidenced by the detection of HFCA and FDA. However, FDA was only detected in early reaction samples (Table 2 and Fig. 5A), so it is hypothesized that its formation was followed by fast aldehyde oxidation to FFCA without desorption into the bulk liquid. At 0.6 V, the major observed product was FFCA and further oxidation of the aldehyde group to FDCA was relatively slow under these conditions (Fig. 5A:  $S_{\rm FDCA}$  = 20%, 2 h). It should be noted that the nonelectrochemical conversion of aldehyde-containing species by Cannizzaro's reaction is possible in alkaline solution, but was determined not to be a significant factor under these electrochemical conditions (see ESI, section 5†).14,23,37 At higher potentials, aldehyde oxidation was greatly enhanced on Pd/C, as evidenced in CV of FFCA (Fig. 4C), making the initial oxidation of HMF to HFCA highly favorable over the alcohol oxidation pathway. The alcohol group on HFCA was relatively non-reactive and consequently HFCA was the major observed product on Pd/C at 0.9 V (Table 2:  $S_{HFCA}$  = 70%, 1 h). Meanwhile, the route of HMF oxidation through FDA becomes a more minor pathway and as a result of accelerated aldehyde oxidization at higher potentials, any FDA or FFCA formed would rapidly oxidize to FDCA, which explains the lack of aldehyde-containing intermediates detected on Pd/C (Table 2:  $S_{\text{FFCA}} < 1\%$ ,  $S_{\text{FDA}} = 0\%$ , 1 h at 0.9 V).

After clarifying the reaction pathway and slow steps on pure Au/C and Pd/C, some conclusions can be drawn about the benefit of alloyed Au-Pd catalysts for FDCA production. At low potentials, HMF oxidation on Pd/C stopped at FFCA. As shown from CV, the addition of Au greatly facilitated aldehyde oxidation at lower potentials. This resulted in more favorable aldehyde oxidation of HMF to HFCA and faster oxidation of FFCA to FDCA, which is consistent with final product analysis (Table 1). Both alloy catalysts had increased the HMF conversion rate and HFCA selectivity, and the Au-rich Pd<sub>1</sub>Au<sub>2</sub>/C demonstrated significant further oxidation of FFCA to FDCA even at 0.6 V ( $S_{FDCA}$  = 25% at 1 h). At higher potentials, HMF oxidation on both Pd/C and Au/C yielded HFCA as the major product. From CV, oxidation of the alcohol

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group in HFCA on alloyed catalysts was shown to have much lower onset potential and higher peak current density than both Au and Pd (Table 3). In the AEM-electrolysis flow cell this resulted in increased activity towards HFCA oxidation and greatly increased selectivity towards FDCA. The synergy of Au-Pd alloyed catalysts for facile aldehyde oxidation at low potentials and enhanced alcohol oxidation activity was demonstrated in half-cell and AEM-electrolysis flow cell reactors and provides a promising catalyst for selective FDCA production from HMF.

## Effects of structure and morphology on the Pd-Au bimetallic electrocatalyst activity for HMF oxidation

It is well known that bimetallic Pd-Au catalysts have greatly enhanced activity over their monometallic components for many applications, although the promotional role of Pd or Au is under debate. Bimetallic systems can introduce morphological and structural changes including the dilution of one atom type on the surface, different lattice parameters, possible electron charge transfer between different metal atoms, and formation of phase boundaries.<sup>38</sup> Previous work has proposed that isolated Pd atoms create highly active catalytic sites with decreased species adsorption energy compared to pure Pd crystals, and therefore that the role of Au is dilute and stabilize surface Pd. 38-42 The surface metal composition, which may vary greatly from the bulk composition, is a critical factor in the catalyst activity and selectivity and therefore additional analysis was needed to characterize the surface morphology of supported Pd-Au alloy catalysts for HMF oxidation.

The surface atomic compositions of Pd-Au alloys were determined by electrochemical methods first proposed by Rand and Woods. 43 In CV, a distinct reduction peak is observed in the cathodic scan direction corresponding to the reduction of metal-oxides formed on the catalyst surface during the anodic scan. The peak position depends on the catalyst surface composition, and therefore can be used to determine the nature of surface alloy structures present in Pd-Au. 43,44 CV was performed in 0.1 M KOH electrolyte and the resulting curves for alloyed catalysts and pure Pd/C and Au/C are shown in Fig. 6. Pd/C shows a sharp Pd-oxide reduction peak at 0.57 V and Au/C shows a shallow Au-oxide reduction peak at 1.04 V vs. RHE, which are in agreement with our previously reported results.<sup>27</sup> The alloyed catalysts had reduction peaks shifted away from the monometallic catalysts at 0.70 and 0.79 V vs. RHE which corresponds to 72 and 53 at% Pd for Pd<sub>2</sub>Au<sub>1</sub>/C and Pd<sub>1</sub>Au<sub>2</sub>/C, respectively, according to Rand and Wood's method (details in ESI section 6†). These alloyed surfaces were found to be significantly more Pd-enriched than the bulk composition (from ICP: 64 and 36 at%, and STEM-EDS: 63 and 30 at%), a phenomenon which has previously been observed for Pd-Au particle synthesis by wet-chemistry methods. 45,46 Furthermore, it is interesting to observe a second reduction peak on Pd<sub>1</sub>Au<sub>2</sub>/C at the signature potential for pure Au, indicating that two metallic phases were present on the catalyst surface, one alloyed Pd-Au phase and one non-alloyed Au phase. However, only one reduction peak was observed for Pd<sub>2</sub>Au<sub>1</sub>/C, which

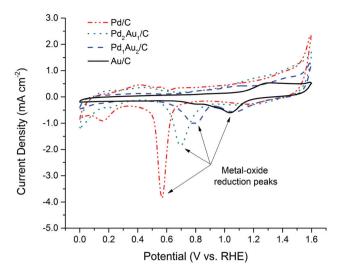


Fig. 6 CV in 0.1 M KOH for electrochemical determination of the surface metal composition. Reaction conditions: 50 mL electrolyte; 25 °C; 20  $\mu$ g catalyst loading on the glassy carbon electrode; scan rate 50 mV s<sup>-1</sup>.

suggests that a single alloyed phase was present on the catalyst surface and monometallic phases were absent.

The proposed beneficial role of Au is to isolate and stabilize Pd sites on the surface, and therefore higher surface Au composition (47 at% surface Au in Pd<sub>1</sub>Au<sub>2</sub> alloy vs. 28 at% surface Au in Pd<sub>2</sub>Au<sub>1</sub> alloy) is desired to increase the fraction of isolated Pd sites and catalytic activity. This was evidenced by Prati's group, which found increased turn-over frequencies (TOF) for glycerol oxidation with increasing Au-content up to 90% Au, beyond which TOF decreased sharply. 42 Enhanced activity towards HMF oxidation on Pd1Au2/C compared to Pd<sub>2</sub>Au<sub>1</sub>/C can therefore partially be attributed to its higher surface Au composition in the alloy phase and increased number of isolated Pd sites. Additionally, electrochemical techniques provided evidence of pure Au regions on the Pd<sub>1</sub>Au<sub>2</sub>/C metal surface, leading to a unique two-phase catalyst which we hypothesize further contributes to its superior activity and selectivity. Au/C was found to be highly active for aldehyde oxidation at all potentials but poor for alcohol oxidation at potentials <1.2 V, so we propose that pure Au regions can promote aldehyde adsorption and oxidation, while Pd-rich alloyed regions promote alcohol oxidation. The intimate contact of Au and alloyed Pd-Au regions provides a bifunctional surface for aldehyde and alcohol oxidations which are both necessary to efficiently obtain fully oxidized FDCA.

## Experimental

### Catalyst synthesis

Carbon black supported Pd, Pd–Au, and Au nanoparticles were synthesized by reduction of metal precursors Pd(acac)<sub>2</sub> (Sigma-Aldrich, 99%) and AuCl<sub>3</sub> (Sigma-Aldrich, 99%) followed by deposition on the carbon black support (Vulcan XC-72, Cabot).

Typically, precursors were dissolved in 16 mL 1-octadecene (Sigma Aldrich, 90%) and 4 mL oleylamine (Aldrich Chemistry, 70%) and heated to 80 °C under nitrogen flow. The precursors were added to give 2:1 and 1:2 Pd/Au molar ratios for the bimetallic nanoparticles. 1.7 mL LiEt<sub>3</sub>BH (1.0 M in THF, Acros Organics) was injected to rapidly precipitate metal nanoparticles and after 10 min the solution was cooled to room temperature before drop-wise transfer to a well-stirred dispersion of carbon black in hexane and ethanol. The amount of carbon black was controlled to give approximately 40 wt% metal in the catalyst. The final catalysts were cleaned with ethanol, separated by vacuum filtration and dried overnight.

#### Catalyst characterization

The prepared catalysts were characterized by TEM (JEOL 2010) with an operating voltage of 200 kV. XRD patterns were obtained with a Scintag XDS-2000  $\theta/\theta$  diffractometer using CuK $_{\alpha}$  radiation ( $\lambda$  = 1.5406), with a tube current of 35 mA and a tube voltage of 45 kV. HAADF-STEM and STEM-EDS were performed on a Hitachi HF-3300 TEM-STEM with a Bruker silicon drift detector (SDD). Catalysts were digested in aqua regia (HCl–HNO $_{3}$  volume ratio 3:1) and analyzed using a Perkin-Elmer ICP-AES, model 7000 DV to verify the bulk metal loading and composition.

#### Half-cell tests

Cyclic voltammetry was performed in a glass reactor with a three-electrode setup controlled by a potentiostat (Versastat MC, Princeton Applied Research). The prepared catalysts were dispersed in isopropanol by ultrasonication to form a uniform ink (1.0 mg mL<sup>-1</sup>). With a micro-syringe, 20 μL of ink were deposited onto a polished and cleaned glassy-carbon electrode with a working area of 0.1963 cm<sup>2</sup>. The Hg/HgO (1.0 M KOH) reference electrode and Pt-wire counter electrode were used. Typically, the cell was loaded with 50 mL solution of 1.0 mmol HMF (Sigma-Aldrich, ≥99%) and 5.0 mmol KOH (Sigma-Aldrich, ≥85%) in deionized water. Cyclic voltammetry was performed at a constant sweep rate of 50 mV s<sup>-1</sup> in alkaline solution with and without addition of HMF at 25 °C with nitrogen bubbling. Additional tests were performed with 1.0 mmol HFCA (Matrix Scientific, ≥99%) and FFCA (TCI America, ≥98%).

#### AEM-electrolysis flow cell tests

A custom-made reactor was built with a membrane-electrode assembly (MEA) consisting of a solid anion-exchange membrane (AEM, Tokuyama) mechanically sandwiched between anode and cathode catalyst layers on carbon cloth. Self-prepared Pd/C, Au-Pd/C, and Au/C served as anode catalysts, while commercial Pt/C (40 wt% Pt, ETEK) was the cathode catalyst. The catalyst and PTFE were dispersed in isopropanol by ultrasonication to form a uniform ink (10 mg catalyst mL<sup>-1</sup>) which was painted onto the carbon cloth with a spray gun. The mass of catalyst on carbon cloth was calculated to give a metal (Au, Pd, and Pt) loading of 1 mg cm<sup>-2</sup>. After drying, the catalyst to PTFE mass ratio was 9:1. The Hg/HgO (1.0 M KOH)

reference electrode was inserted through a hole in the anode compartment, allowing the anode potential to be regulated and monitored by a potentiostat (Versastat MC, Princeton Applied Research). Additional details of electrolysis flow cell design can be found in our previous work. <sup>26,47,48</sup> Product samples were taken throughout the test for analysis by HPLC (Agilent 1100, Alltech OA-1000 column, 60 °C) equipped with RID (Agilent G1362A) and VWD (Agilent G1314A, 220 nm) and a 5.0 mM aqueous H<sub>2</sub>SO<sub>4</sub> mobile phase (0.3 mL min<sup>-1</sup>).

## Conclusions

Electrocatalytic oxidation of HMF in an AEM-electrolysis flow cell reactor with product analysis at varying electrode potentials, along with cyclic voltammetry of HMF, HFCA, and FFCA provided direct evidence of the Au-Pd synergistic effect for HMF electro-oxidation for FDCA production, and revealed the unique catalytic properties of Pd and Au for competitive oxidation of alcohol and aldehyde groups present in HMF. The observed product distribution from the AEM-electrolysis flow cell was highly dependent on the electrode potential and catalyst surface composition. We have reported the electrode potential-dependent pathway for electrocatalytic oxidation of HMF in alkaline media over supported Au and Pd nanoparticles. Under these electrochemical conditions, Au/C favored HMF oxidation to HFCA, while oxidation on Pd/C followed two competitive pathways to FDCA. Aldehyde oxidation was greatly favored over alcohol oxidation on Au/C, but required higher potentials to proceed rapidly on Pd/C. Bimetallic catalysts (Pd<sub>2</sub>Au<sub>1</sub>/C and Pd<sub>1</sub>Au<sub>2</sub>/C) achieved much higher FDCA yield, attributed to the altered surface composition and morphology. Introducing Au to the Pd surface led to more facile aldehyde oxidation at low potentials and enhanced alcohol oxidation activity compared to both single elements. The combined benefits of Pd-Au alloys for the selective production of FDCA from HMF were demonstrated in electrochemical systems and this approach may be expanded to the rational design of other highly active and selective catalysts for multi-functional group oxidation in green processes for the future.

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